

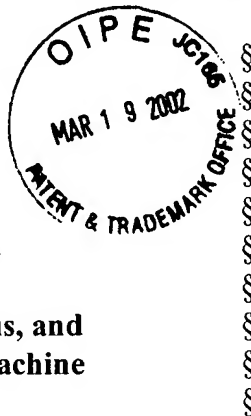
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Cook

Serial No.: 10/047,801

Filed: January 15, 2002

For: **Method, Apparatus, and  
Program for a State Machine  
Framework**



Group Art Unit: 2151

Examiner: UNKNOWN

Attorney Docket No.: AUS920010995US1

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By: \_\_\_\_\_

*Dell Whitton*  
Dell Whitton

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

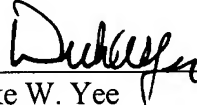
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In the Notice to File Corrected Application Papers, the application was designated as informal and substitutes drawings in compliance with 37 CFR 1.84. In response to this notice, applicants have submitted a letter to the Official Draftsman with substitute drawings along with a copy of the notice. No fees are believed to be necessary at this time. However, in the event that any fees are required for the prosecution of this application, please charge any necessary fees to **Deposit Account No. 09-0447**. No extension of time is believed to be necessary. If, however, an extension of time is

needed, the extension is requested. Please charge the fee for this extension to **Deposit Account No. 09-0447**.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee

Registration No. 34,285

**Carstens, Yee & Cahoon, LLP**

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Cook**

Serial No.: **10/047,801**

Filed: **January 15, 2002**

For: **Method, Apparatus, and Program  
for a State Machine Framework**

§ Group Art Unit: **2151**

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§ Examiner: **UNKNOWN**

§

§ Attorney Docket No.: **AUS920010995US1**

§

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By: Dell Whitten

Dell Whitten

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Response to Notice to File Corrected Application Papers;
- Copy of Notice to File Corrected Application Papers;
- Request for Corrected Filing Receipt;
- Copy of original Filing Receipt;
- Copy of original Express Mail receipt;
- Letter to the Official Draftsman;
- 8 pages of formal drawings; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is necessary, I authorize the Commissioner to charge the necessary extension fees to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

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Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT

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Group Art Unit: **2151**

Examiner: **Unknown**

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By:

Dell Whitton  
Dell Whitton

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered and/or ☐ omitted.

**Error in**

**Correct data**

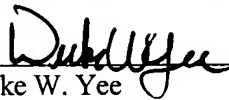
1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☒ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

- 1.
- 2.
- 3.
4. January 15, 2002
- 5.
- 6.
- 7.

3. A. The correction(s) is/are not due to any error by applicant and no fee is due.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447.

Respectfully submitted,



Duke W. Yee

*Registration No. 34,285*

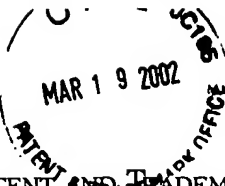
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(972) 367-2001

ATTORNEY FOR APPLICANT

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,801	01/17/2002	John A. Cook	AUS920010995US1

CONFIRMATION NO. 4753

## FORMALITIES LETTER



\*OC000000007472144\*

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P. O. Box 802334  
Dallas, TX 75380

Date Mailed: 02/13/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/047,801	01/17/2002 01/15/2002	2151	1064	AUS920010995US1	10	24	6

CONFIRMATION NO. 4753

## FILING RECEIPT



\*OC00000007472143\*

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P. O. Box 802334  
Dallas, TX 75380

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John A. Cook, Austin, TX;

## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/08/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

## Title

Method, apparatus, and program for a state machine framework

## Preliminary Class

709



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